



# GRANTOR TRUST FORM

If this policy is owned by a Grantor Trust (as defined below in Note 2) and the Grantor is an individual, the Grantor and the Annuitant must be the same.

By signing this form, you are confirming that the Individual Grantor and Annuitant are the same individual.

**Required Signatures.** Your signature confirms that all information on this form is correct.

Trustee's Name (print)  \_\_\_\_\_ Date \_\_\_\_\_

Trustee's Signature  \_\_\_\_\_ Date \_\_\_\_\_

Trustee's Name (print - if applicable)  \_\_\_\_\_ Date \_\_\_\_\_

Trustee's Signature (if applicable)  \_\_\_\_\_ Date \_\_\_\_\_

- Note: (1) The advisor must be informed by the client or his/her tax advisor as to whether the trust is a grantor trust,
- (2) A grantor trust is a trust in which the person who establishes it (the grantor) retains an interest or control. In general, a grantor trust is ignored for tax purposes and all of the income, deductions, etc., of the trust are treated as belonging directly to the grantor. Items of income and deduction are generally reported on the grantor's income tax return. The trust typically doesn't have its own tax identification number (TIN) or file its own tax return. Usually, the trust uses the SSN of the grantor,
- (3) The Executor(trix) of the Grantor's estate must notify us upon the death of any Individual Grantor; and
- (4) Federal income tax laws generally require that we pay the Death Benefit under the contract upon the death of any Individual Grantor of a Grantor Trust.

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**Send your completed form to:**

**Regular Mail:** NYL Annuities – TPD, Mail Code 7390, PO Box 7247, Philadelphia, PA 19170-7390

**Overnight/Express Mail:** NYL Annuities – TPD, 400 White Clay Center Drive, Attn: LOCKBOX # 7390, Newark, DE 19711

**Fax:** Attn: NYL Annuity Service Center, (302) 781-1780

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